

# COL7160 : Quantum Computing

## Lecture 23 : Quantum Query Lower Bound: Adversary Method

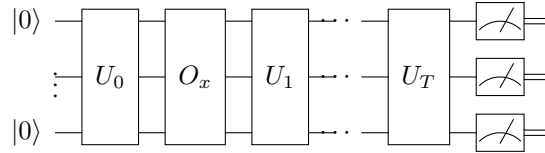
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## 1 Quantum Query Lower Bound

### 1.1 Adversary Method

Consider an input string  $x \in \{0, 1\}^N$  for a decision problem. We evaluate the problem using a standard quantum query model.



Let the state after  $U_0$  but before the first oracle call be  $|\psi_0\rangle$ . Depending on the input  $x$ , the state evolves to  $|\psi_t^{(x)}\rangle$  after  $t$  queries. The final states before measurement are  $|\psi_T^{(y)}\rangle$  and  $|\psi_T^{(z)}\rangle$  for inputs  $y$  and  $z$  respectively. For the algorithm to successfully distinguish a “yes” instance  $y$  from a “no” instance  $z$  with high probability, the final states must be sufficiently distinguishable. We require:

$$|\langle \psi_T^{(y)} | \psi_T^{(z)} \rangle| \leq 0.9$$

The adversary method bounds the rate at which the inner product between states for YES and NO instances can diverge with each oracle application [dW23].

**Theorem 1** (Unweighted Adversary Bound [Amb02]). *For a boolean function  $f$ , let  $Y \subseteq f^{-1}(1)$  and  $Z \subseteq f^{-1}(0)$  be subsets of YES and NO instances. Suppose there exists a relation  $R \subseteq Y \times Z$  such that:*

- For every  $(y, z) \in R$ , the Hamming distance is  $\text{dist}(y, z) = 1$ .
- For each  $y \in Y$ , there are at least  $m$  strings  $z \in Z$  such that  $(y, z) \in R$ .
- For each  $z \in Z$ , there are at least  $m'$  strings  $y \in Y$  such that  $(y, z) \in R$ .

Then the bounded-error quantum query complexity of  $f$  is bounded by:

$$Q(f) = \Omega(\sqrt{m \cdot m'})$$

### 1.2 Application: The OR Problem

We apply the adversary bound to the  $N$ -bit OR function,  $f(x) = \bigvee_{i=1}^N x_i$ .

Define the sets as follows:

$$Z = \{0^N\}$$

$$Y = \{y \in \{0, 1\}^N : \text{Hamming weight } |y| = 1\}$$

We define the relation  $(y, z) \in R \iff \text{dist}(y, z) = 1$ .

- For a given  $y \in Y$  (which contains exactly one ‘1’), there is exactly  $m = 1$  string in  $Z$  at distance 1, namely  $0^N$ .
- For the given  $z \in Z$  ( $0^N$ ), there are exactly  $m' = N$  strings in  $Y$  at distance 1, corresponding to flipping each of the  $N$  bits.

Applying the theorem:

$$Q(\text{OR}) \geq c\sqrt{m \cdot m'} = c\sqrt{1 \cdot N} = c\sqrt{N}$$

Thus, any quantum algorithm requires  $\Omega(\sqrt{N})$  queries to compute the OR function, demonstrating that Grover's algorithm is optimal [Gro96].

*Remark 2.* Point to Ponder: While the unweighted method easily captures the  $\Omega(\sqrt{N})$  bound for the OR function, consider what happens for functions where YES and NO instances have highly asymmetric distances, or where the "neighborhood" sizes vary drastically. The restriction that  $\text{dist}(y, z) = 1$  becomes a severe bottleneck for bounding the complexity of more complex algorithms, such as those solving the Collision Problem.

## 2 Proof of the Adversary Bound

Let the relation set be  $R = \{(y, z) \in Y \times Z : \text{dist}(y, z) = 1\}$ . Define a progress measure  $S_t$  as the sum of the inner products over all related pairs at step  $t$ :

$$S_t = \sum_{(y,z) \in R} |\langle \psi_t^{(y)} | \psi_t^{(z)} \rangle|$$

At  $t = 0$ , before any oracle calls, the states are identical and independent of the input ( $|\psi_0^{(y)}\rangle = |\psi_0^{(z)}\rangle = |\psi_0\rangle$ ). Since unitary operations preserve the inner product:

$$S_0 = \sum_{(y,z) \in R} 1 = |R|$$

To successfully distinguish the instances, a necessary condition at the final step  $T$  is:

$$S_T \leq 0.9|R|$$

**Proposition 3.** *The change in the progress measure  $S_t$  after a single oracle query is bounded by:*

$$S_t - S_{t+1} \leq \frac{2}{\sqrt{mm'}} \cdot |R|$$

*Proof.* Consider the state representation. For a given pair  $(y, z) \in R$ , we can write the state  $|\psi_t\rangle$  in the computational basis where the oracle acts:

$$|\psi_t^{(y)}\rangle = \sum_{i=1}^N \alpha_i |i\rangle |\varphi_i\rangle \quad \text{and} \quad |\psi_t^{(z)}\rangle = \sum_{i=1}^N \beta_i |i\rangle |\theta_i\rangle$$

where  $|\varphi_i\rangle$  and  $|\theta_i\rangle$  are unit vectors in the workspace register, and  $\sum_i |\alpha_i|^2 = \sum_i |\beta_i|^2 = 1$ . Note that  $\alpha_i$  and  $\beta_i$  depend implicitly on  $y$  and  $z$  respectively.

The oracle application maps  $|i\rangle \rightarrow (-1)^{x_i} |i\rangle$ . Therefore:

$$\begin{aligned} |\psi_{t+1}^{(y)}\rangle &= \sum_{i=1}^N (-1)^{y_i} \alpha_i |i\rangle |\varphi_i\rangle \\ |\psi_{t+1}^{(z)}\rangle &= \sum_{i=1}^N (-1)^{z_i} \beta_i |i\rangle |\theta_i\rangle \end{aligned}$$

Let  $S'_t = \langle \psi_t^{(y)} | \psi_t^{(z)} \rangle$  and  $S'_{t+1} = \langle \psi_{t+1}^{(y)} | \psi_{t+1}^{(z)} \rangle$ .

$$\begin{aligned} S'_t &= \sum_{i=1}^N \alpha_i^* \beta_i \langle \varphi_i | \theta_i \rangle \\ S'_{t+1} &= \sum_{i=1}^N (-1)^{y_i + z_i} \alpha_i^* \beta_i \langle \varphi_i | \theta_i \rangle \end{aligned}$$

Since  $(y, z) \in R$ , we know  $\text{dist}(y, z) = 1$ . Let  $j^*$  be the unique index where  $y_{j^*} \neq z_{j^*}$ . For all  $i \neq j^*$ ,  $y_i = z_i$ , so  $(-1)^{y_i+z_i} = 1$ . For  $i = j^*$ ,  $y_{j^*} + z_{j^*} = 1$ , so  $(-1)^{y_{j^*}+z_{j^*}} = -1$ .

The difference in the inner product is isolated to the single index  $j^*$ :

$$S'_t - S'_{t+1} = 2\alpha_{j^*}^* \beta_{j^*} \langle \varphi_{j^*} | \theta_{j^*} \rangle$$

Using the triangle inequality and the fact that workspace states are unit vectors ( $|\langle \varphi | \theta \rangle| \leq 1$ ):

$$|\langle \psi_t^{(y)} | \psi_t^{(z)} \rangle| - |\langle \psi_{t+1}^{(y)} | \psi_{t+1}^{(z)} \rangle| \leq 2|\alpha_{j^*}| \cdot |\beta_{j^*}|$$

Applying the AM-GM inequality in the form  $2ab \leq ca^2 + \frac{1}{c}b^2$  with  $c = \sqrt{m/m'}$ :

$$2|\alpha_{j^*}| \cdot |\beta_{j^*}| \leq \sqrt{\frac{m}{m'}} |\alpha_{j^*}(y)|^2 + \sqrt{\frac{m'}{m}} |\beta_{j^*}(z)|^2$$

Now, we sum this difference over all pairs in  $R$ :

$$S_t - S_{t+1} \leq \sqrt{\frac{m}{m'}} \sum_{(y,z) \in R} |\alpha_{j^*}(y,z)(y)|^2 + \sqrt{\frac{m'}{m}} \sum_{(y,z) \in R} |\beta_{j^*}(y,z)(z)|^2$$

Let us analyze the first sum:  $\sum_{(y,z) \in R} |\alpha_{j^*}(y,z)(y)|^2$ . We can rewrite this by fixing  $y \in Y$  and summing over valid  $z \in Z$ :

$$\sum_{y \in Y} \left( \sum_{z: (y,z) \in R} |\alpha_{j^*}(y,z)(y)|^2 \right)$$

Because  $y$  is fixed, each  $z$  that is at distance 1 from  $y$  must differ from  $y$  at a distinct index  $j^*$ . Thus, as we sum over  $z$ , the index  $j^*$  takes on unique values. This implies the inner sum is bounded by the total norm of the state:

$$\sum_{z: (y,z) \in R} |\alpha_{j^*}(y,z)(y)|^2 \leq \sum_{i=1}^N |\alpha_i(y)|^2 = 1$$

Summing this bound over all  $y \in Y$  yields:

$$\sum_{(y,z) \in R} |\alpha_{j^*}(y,z)(y)|^2 \leq |Y|$$

By an identical symmetric argument for fixed  $z$ , the second sum is bounded by  $|Z|$ . Substituting these back gives:

$$S_t - S_{t+1} \leq \sqrt{\frac{m}{m'}} |Y| + \sqrt{\frac{m'}{m}} |Z|$$

By the degree constraints of our bipartite relation graph  $R$ :  $|R| \geq m|Y|$  and  $|R| \geq m'|Z|$ . Therefore:

$$\frac{|R|}{\sqrt{mm'}} \geq \sqrt{\frac{m}{m'}} |Y| \quad \text{and} \quad \frac{|R|}{\sqrt{mm'}} \geq \sqrt{\frac{m'}{m}} |Z|$$

Summing these two inequalities gives:

$$\frac{2|R|}{\sqrt{mm'}} \geq \sqrt{\frac{m}{m'}} |Y| + \sqrt{\frac{m'}{m}} |Z|$$

Which proves our proposition.  $\square$

**Conclusion of Theorem:** The total drop in the progress measure after  $T$  queries is bounded by  $T$  times the maximum drop per query:

$$S_0 - S_T \leq T \cdot \frac{2|R|}{\sqrt{mm'}}$$

We require  $S_0 - S_T \geq |R| - 0.9|R| = 0.1|R|$  for successful distinction. Thus:

$$0.1|R| \leq T \cdot \frac{2|R|}{\sqrt{mm'}} \implies T \geq 0.05\sqrt{mm'}$$

This concludes the proof that  $Q(f) = \Omega(\sqrt{mm'})$ .

*Remark 4.* Point to Ponder: Is this lower bound always tight? The unweighted version presented here is not tight for all functions. It was later demonstrated that by assigning an arbitrary real weight matrix  $\Gamma$  to the pairs  $(y, z)$  (the Negative-Weight Adversary Method), the bound becomes perfectly tight, characterizing the exact quantum query complexity for any boolean function.

*Next Topic*  $\rightarrow$  *Quantum Money*.

## References

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- [Gro96] Lov K. Grover. A fast quantum mechanical algorithm for database search. In *Proceedings of the Twenty-Eighth Annual ACM Symposium on Theory of Computing*, STOC '96, page 212–219, New York, NY, USA, 1996. Association for Computing Machinery.